The Makar-Limanov invariant and related topics

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Linear algebra v.s. affine algebraic geometry

Linear algebra is (in my opinion) the motivating factor for affine algebraic geometry. Perhaps, one day, we will use polynomial automorphisms in many cases where we use linear maps. Linear algebra is one of the dominating factors in spawning conjectures.

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Affine algebraic geometry:

Question 1: Let k be a field. Let U, V, W be k-varieties. Suppose

 $U \times W \cong V \times W$. Does this imply $U \cong V$? (Later today)

Q.2a:

Q.2b:

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Most important case: when is a variety k^n ? (k a field.) When is a ring a polynomial ring?

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- Relatively new: certain group actions (G_a-actions, derivations, etc.)

A motivating example: Koras-Russell 3-folds

1993: M. Koras and P. Russell tracked down a class of 3-folds on $\mathbb C$ which were:

affine, smooth, diffeomorphic to \mathbb{R}^6 , + something extra.

Were they isomorphic to \mathbb{C}^3 ?

Simplest example: $X + X^2Y + Z^2 + T^3$.

Topological arguments do not work, basic algebraic properties do not work to distinguish this from \mathbb{C}^3 .

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LND equals k+ action (\mathcal{G}_a -action)

Define $A \longrightarrow A[T]$ by $a \longrightarrow \exp(TD)(a) = a + TD(a) + \frac{T^2}{2!}D^2(a) + \frac{T^3}{3!}D^3(a) + \dots$ In case $A = \mathcal{O}(V)$ then this gives an algebraic k+ action on V:

$$\begin{array}{rcl} \mathcal{G}_a \times V & \longrightarrow V \\ t \times v & \longrightarrow exp(tD)(v) \end{array}$$

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Notice:

 $\begin{aligned} \mathsf{ML}(\mathbb{C}[X,Y,Z]) \subseteq & \mathbb{C}[X,Y,Z]^{\partial_X} \cap \mathbb{C}[X,Y,Z]^{\partial_Y} \cap \mathbb{C}[X,Y,Z]^{\partial_Z} \\ & \mathbb{C}[Y,Z] \cap \mathbb{C}[X,Z] \cap \mathbb{C}[X,Y] = \mathbb{C}. \end{aligned}$

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Simplest example: $V := X^2Y + X + Z^2 + T^3$. Breakthrough by Makar-Limanov:

 $ML(\mathcal{O}(V)) = \mathbb{C}[X].$

Proof is quite elaborate - using smart gradings, filtrations, etc. etc.

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Let me give an indication of how it works.

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 $A := \mathbb{C}[X, Y, Z, T]/(X^2Y + X + Z^2 + T^3), \tilde{A} :=$ $GR_2GR_1(A) \cong GR_1(A) \cong \mathbb{C}[X, Y, Z, T]/(X^2Y + Z^2 + T^3).$ Suppose $D \in \text{LND}(A)$ such that $D(X) = f \neq 0.$
$$\begin{split} A &:= \mathbb{C}[X, Y, Z, T] / (X^2Y + X + Z^2 + T^3), \ \tilde{A} := \\ \mathrm{GR}_2 \mathrm{GR}_1(A) &\cong \mathrm{GR}_1(A) \cong \mathbb{C}[X, Y, Z, T] / (X^2Y + Z^2 + T^3). \\ \mathrm{Suppose} \ D &\in \mathrm{LND}(A) \ \mathrm{such} \ \mathrm{that} \ D(X) = f \neq 0. \\ \mathrm{Then}, \ \tilde{D} &:= \mathrm{gr}_2 \mathrm{gr}_1(D) \ \mathrm{is} \ \mathrm{an} \ \mathrm{LND} \ \mathrm{on} \ \tilde{A} \ \mathrm{which} \ \mathrm{is} \ \mathrm{doubly} \\ \mathrm{homogeneous}, \end{split}$$

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Since $\tilde{D}(f) = 0$, ... calculatecalculate... $\tilde{D} = 0$, which is not possible.

The strength of ML invariant comes because of the techniques to compute it. Sometimes one can use these techniques, sometimes not. But - there are cases where the ML invariant will fail!

Non-omnipotency of ML invariant

Example: Let $A_1 := \mathbb{C}[X, Y, Z, T]/(XY - ZT - 1), A_2 := \mathbb{C}[X, Y, Z, T]/(XY - Z^2 - T^3).$

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Example: Let $A_1 := \mathbb{C}[X, Y, Z, T]/(XY - ZT - 1), A_2 := \mathbb{C}[X, Y, Z, T]/(XY - Z^2 - T^3)$. Both have many LNDs, for example $z\partial_y + x\partial_t$ on A_1 . It turns out that $ML(A_1) = ML(A_2) = \mathbb{C}$.

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(i). A = k[s₁,..., s_n] a polynomial ring in *n* variables over *k*.
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 $A := \mathbb{C}[x, y, z, t] = \mathbb{C}[X, Y, Z, T]/(X^2Y + X + Z^2 + T^3),$

$$\begin{aligned} A &:= \mathbb{C}[x, y, z, t] = \mathbb{C}[X, Y, Z, T] / (X^2 Y + X + Z^2 + T^3), \\ D_1 &:= 2z \frac{\partial}{\partial y} - x^2 \frac{\partial}{\partial z}, \end{aligned}$$

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$$\begin{split} A &:= \mathbb{C}[x, y, z, t] = \mathbb{C}[X, Y, Z, T] / (p(X)Y + q(X, Z, T)), \\ D_1 &:= q_z \frac{\partial}{\partial y} - p(x) \frac{\partial}{\partial z}, \\ D_2 &:= q_t \frac{\partial}{\partial y} - p(x) \frac{\partial}{\partial t}. \\ D_1, D_2 \text{ commute }, A \text{ UFD, } trdeg(A) = 3, A^{D_1, D_2} = \mathbb{C}[x] \\ \text{Even more general: for any} \end{split}$$

$$p(X)Y + q(X, Z, T)$$

we know exactly when it is isomorphic to $\mathbb{C}^3,$ using this theorem !!

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Motivating example:

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D₁ mod (f − α),..., D_n mod (f − α) independent over A/(f − α) for all α ∈ k
⇒ A ≅ C^[n+1], f coordinate.

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Ring theoretic version:

Suppose A, B are finitely generated k-algebras. Suppose $A[X] \cong B[X]$. Is $A \cong B$?

Some positive results on "The" cancellation problem

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(Fujita, Miyanishi, Sugie (1980), Russell (1981)): V affine surface over field of char=0 such that $V \times k^n \cong k^{n+2}$, then $V \cong k^2$. (Recent purely algebraic proof by Makar-Limanov and Crachiola.)

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 $S_{R}(M) := T_{R}(M)/(m_{1} \otimes m_{2} - m_{2} \otimes m_{1} \mid m_{1}, m_{2} \in M)$ Let $R := \mathbb{R}[x, y, z]/(x^{2} + y^{2} + z^{2} - 1)$ and $\varphi : R^{3} \longrightarrow R$ given by $\varphi(r_{1}, r_{2}, r_{3}) = r_{1}\bar{x} + r_{2}\bar{y} + r_{3}\bar{z}$. Then $ker(\varphi) \oplus R \cong R^{3}$ but $ker(\varphi) \ncong R^{2}$. Consequently, $A := S_{R}(ker(\varphi))$ satisfies $A[X] \cong_{R} R[X, Y, Z]$ but $A \ncong_{R} R[X, Y]$.

Preprint of Danielewski(83?): Examples over $\mathbb{C}!$

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Preprint of Danielewski(83?): Examples over \mathbb{C} ! Let $V_1 := \{xy - z^2 + 1 = 0\}, V_2 = \{x^2y - z^2 + 1\}$. Then $V_1 \times \mathbb{C} \cong V_2 \times \mathbb{C}$ but $V_1 \neq V_2$. Idea of proof:







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$$\begin{array}{rcccccc} A_{12}[X] &\cong& A_{12} \otimes_R A_{34} &\cong& A_{34}[X] \\ &\swarrow&& &\searrow\\ && && & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & & & \\ && & & & & &$$





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How to prove that A_{12} is not always isomorphic to A_{34} ? Amongst others - use $ML(A_{12}) = ML(A_{34}) = R!$ ML invariant is invariant subring. \longrightarrow determine automorphism group of A_{ij} , etc... $A_{12} \ncong A_{34}$. ******THANK YOU******