# Polynomial automorphisms, especially over finite fields 

Stefan Maubach

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A polynomial map $F$ is invertible if there is a polynomial map
$G$ such that $F(G)=\left(X_{1}, \ldots, X_{n}\right)$.

Notations:
Linear Polynomial
All $\quad M L_{n}(k) \quad M A_{n}(k)$
Invertible $\quad G L_{n}(k) \quad G A_{n}(k)$

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## RE-MOTIVATION: Why NOT study polynomial

 maps over finite fields! In fact, why didn't anyone fill that gaping hole yet!REASON 1: Reduction-mod- $p$ techniques to solve problems over $\mathbb{C}$. Classical example: an injective polynomial map is surjective. Reason: an injective map from a finite set to a finite. Very recent: Belov-Kontsjevich (yes, that guy) proved equivalence of two already long-standing conjectures: the Dixmier Conjecture ('68) and the Jacobian Conjecture ('39). REASON 2: Polynomial maps over finite fields may have applications in discrete-mathematics like settings! (In fact, one of the reasons for this talk is the hope that there may be one or two of you in the audience who may see such a possible application!)

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In dimension 2: famous Jung-van der Kulk-theorem:

$$
\mathrm{GA}_{2}(\mathbb{K})=\mathrm{TA}_{2}(\mathbb{K})=A f f_{2}(\mathbb{K}) \times \mathrm{J}_{2}(\mathbb{K})
$$

Jung-van der Kulk is the reason that we can do a lot in dimension 2 !!!!

## What about dimension 3?

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(Difficult and technical proof. ) (2007 AMS Moore paper award.)

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Thus: $N$ is tame over $k\left[z, z^{-1}\right]$, i.e. $N$ in $\operatorname{TA}_{2}\left(k\left[z, z^{-1}\right]\right)$. Nagata proved: $N$ is NOT tame over $k[z]$, i.e. $N$ not in TA $\mathrm{A}_{2}(k[z])$.

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Question: How does $\operatorname{GLIN}_{n}\left(\mathbb{F}_{2}\right)$ and $\operatorname{GTAM}_{n}\left(\mathbb{F}_{2}\right)$ relate? We will Get Back To That. . .

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Simpler question: what is $\pi\left(\mathrm{TA}_{n}\left(\mathbb{F}_{q}\right)\right)$ ?
Why simpler? Because we have a set of generators!

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$T_{n}\left(\mathbb{F}_{q}\right)$ is generated by $\mathrm{GL}_{\mathrm{n}}\left(\mathbb{F}_{\mathrm{q}}\right)$ (for which we have a finite set of generators) and maps of the form

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\sigma_{f}:=\left(X_{1}+f, X_{2}, \ldots, X_{n}\right)
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where $f \in \mathbb{F}_{q}\left[X_{2}, \ldots, X_{n}\right]$.

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(3) (if $n=3$ :) $\mathrm{GA}_{3}\left(\mathbb{F}_{4}\right) \neq<\operatorname{Aff}_{3}\left(\mathbb{F}_{4}\right), \mathrm{GA}_{2}\left(\mathbb{F}_{4}[Z]\right)>$.

Question: what is $\pi\left(T_{n}\left(\mathbb{F}_{q}\right)\right)$ ?
Answer: if $q=2$ or $q=$ odd, then $\pi\left(T_{n}\left(\mathbb{F}_{q}\right)\right)=\operatorname{Sym}\left(q^{n}\right)$.
Answer: if $q=4,8,16,32, \ldots$ then $\pi\left(T_{n}\left(\mathbb{F}_{q}\right)\right)=\operatorname{Alt}\left(q^{n}\right)$.
Consequences of an odd polynomial automorphism over $\mathbb{F}_{4}$ in dimension $n$ :
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So: Start looking for an odd automorphism!!! (Or prove they don't exist)

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$N^{2}=1$.

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$N^{2}=1 . N$ does not act on $\operatorname{Fix}(N)$. This set is
$\left\{(x, y, z) \mid x^{2} z^{3}+y^{4} z=x z^{2}+y^{2} z=0\right\}$.

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So far: we did not find an odd automorphism. Perhaps we didn't look hard enough! Perhaps all polynomial automorphisms are even - but why?

Another idea: study the bijections of $\mathbb{F}_{9}^{n}$ given by elements of $G A_{n}\left(\mathbb{F}_{3}\right)$.

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Then study the bijection of $\mathbb{F}_{9}^{3}$ given by Nagata - is this bijection in the group $\pi_{9}\left(\mathrm{TA}_{3}\left(\mathbb{F}_{3}\right)\right)$ ?

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## Corollary

(of some theorem I proved) Let $F \in \mathrm{GA}_{2}\left(\mathbb{F}_{q}[Z]\right)$. Then $F$ is tamely mimickable.

Nagata can be mimicked by a tame map for every $q=p^{m}$ i.e. exists $F \in T A_{3}\left(\mathbb{F}_{p}\right)$ such that $\pi_{q} N=\pi_{q} F$.

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\begin{gathered}
\left(X-z^{-1} Y^{2}, Y\right)\left(X, Y+z^{2} X\right),\left(X+z^{-1} Y^{2}, Y\right) \\
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Do the Big Trick, since for $z \in \mathbb{F}_{q}$ we have $z^{q}=z$ :
This almost works - a bit more wiggling necessary (And for the general case, even more work.)

However - hope of showing that Nagata is not tame over $\mathbb{Z}$ (and $\mathbb{C}$ ) by proving something like:

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However - hope of showing that Nagata is not tame over $\mathbb{Z}$ (and $\mathbb{C}$ ) by proving something like:
Fix a tame map $F$. Consider it modulo all $p$, where $p$ are big primes. Then $F$ does not behave like Nagata modulo $p$.

Another "characteristic 2" anomaly: compare
$\operatorname{GTAM}_{n}\left(\mathbb{F}_{2}\right):=$ normalizer of $\operatorname{TA}_{n}\left(\mathbb{F}_{2}\right)$
$\cup$
$\operatorname{GLIN}_{n}\left(\mathbb{F}_{2}\right):=$ normalizer of $\mathrm{GL}_{\mathrm{n}}\left(\mathbb{F}_{2}\right)$
Is $\operatorname{GLIN}_{2}\left(\mathbb{F}_{2}\right) \varsubsetneqq \operatorname{GTAM}_{2}\left(\mathbb{F}_{2}\right)$ ?
Which maps of the form $(X+f(Y), Y)$ can we find in $\operatorname{GLIN}_{2}\left(\mathbb{F}_{2}\right)$ ?

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After some trial-and-error: $f(Y) \in \mathbb{F}_{2}\left[Y^{2}+Y\right]+\mathbb{F}_{2} Y+\mathbb{F}_{2}$.

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Which maps of the form $(X+f(Y), Y)$ can we find in $\operatorname{GLIN}_{2}\left(\mathbb{F}_{2}\right)$ ?
After some trial-and-error: $f(Y) \in \mathbb{F}_{2}\left[Y^{2}+Y\right]+\mathbb{F}_{2} Y+\mathbb{F}_{2}$.
In particular - we couldn't make $\left(X+Y^{3}, Y\right)$.

Is $\operatorname{GLIN}_{n}\left(\mathbb{F}_{2}\right) \not \equiv \operatorname{GTAM}_{n}\left(\mathbb{F}_{2}\right)$ ?
Can we make $\left(X+Y^{3}, Y, Z\right)$ in dimension 3 over $\mathbb{F}_{2}$ ?

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Can we make $\left(X+Y^{3}, Y, Z\right)$ in dimension 3 over $\mathbb{F}_{2}$ ? YES!

Is $\operatorname{GLIN}_{n}\left(\mathbb{F}_{2}\right) \neq \operatorname{GTAM}_{n}\left(\mathbb{F}_{2}\right)$ ?
Can we make $\left(X+Y^{3}, Y, Z\right)$ in dimension 3 over $\mathbb{F}_{2}$ ?
YES! We can make all affine ones (not that hard).

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Now $\left(X+Y^{i} Z, Y, Z\right)(X, Y, Z+1)\left(X+Y^{i} Z, Y, Z\right)=$ $\left(X+Y^{i}, Y, Z\right)$.
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So: $\operatorname{GTAM}_{n}\left(\mathbb{F}_{2}\right) \subset \operatorname{GLIN}_{n+1}\left(\mathbb{F}_{2}\right)$.
But - we run into other monomials that we cannot make:
$(X+Y Z, Y, Z)$

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$$
\frac{\# \pi_{4}\left(\operatorname{GLIN}_{2}\left(\mathbb{F}_{2}\right)\right)}{\# \pi_{4}\left(\operatorname{GTAM}_{2}\left(\mathbb{F}_{2}\right)\right)}=2
$$

End proof.

Conclusions

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$$
\begin{aligned}
& \pi_{q}\left(\mathrm{TA}_{n}\left(\mathbb{F}_{q}\right)\right)=\operatorname{Sym}\left(q^{n}\right) \text { if } q \text { odd, } q=2 \\
& \pi_{q}\left(\mathrm{TA}_{n}\left(\mathbb{F}_{q}\right)\right)=\operatorname{Alt}\left(q^{n}\right) \text { if } q=2^{m}, m \geq 2
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Conclusions

- $\pi_{q}\left(\operatorname{TA}_{n}\left(\mathbb{F}_{q}\right)\right)=\operatorname{Sym}\left(q^{n}\right)$ if $q$ odd, $q=2$.
$\pi_{q}\left(\operatorname{TA}_{n}\left(\mathbb{F}_{q}\right)\right)=\operatorname{Alt}\left(q^{n}\right)$ if $q=2^{m}, m \geq 2$.
- $\operatorname{GLIN}_{n}\left(\mathbb{F}_{q}\right)=\operatorname{GTAM}_{n}\left(\mathbb{F}_{q}\right)$ if $q \neq 2$.
$\operatorname{GLIN}_{n}\left(\mathbb{F}_{2}\right) \nexists \operatorname{GTAM}_{n}\left(\mathbb{F}_{2}\right) \ldots$ but $\operatorname{GTAM}_{n}\left(\mathbb{F}_{2}\right) \subseteq \operatorname{GLIN}_{n+1}\left(\mathbb{F}_{2}\right)$


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*** THANK YOU ***
(for watching 175 slides. . . )

