

Polynomial automorphisms over  
finite fields  
and Locally Finite Polynomial Maps

Stefan Maubach

April 2008

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Notations:

	Linear	Polynomial
All	$ML_n(\mathbb{C})$	$MA_n(\mathbb{C})$
Invertible	$GL_n(\mathbb{C})$	$GA_n(\mathbb{C})$

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Why this bold claim? Polynomial maps seem to have similar properties as linear maps (much more so than holomorphic maps for example). Well... to be honest, most are **conjectures**. . . Let's look at a few of these conjectures!

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**Jacobian Conjecture** in dimension  $n$  (JC( $n$ )):

Let  $F \in MA_n(\mathbb{C})$ . Then

$$\det(\text{Jac}(F)) \in \mathbb{C}^* \Rightarrow F \text{ is invertible.}$$

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$$V \times \mathbb{C} \cong \mathbb{C}^{n+1} \implies V \cong \mathbb{C}^n.$$

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**Cancelation Problem:**

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$n = 3$ :(Shestakov-Umirbaev, 2004)

Nagata's map not tame, i.e.  $GA_3(\mathbb{C}) \neq TA_3(\mathbb{C})$

# First subject: Polynomial maps over finite fields

Consider  $\varphi \in \text{GA}_n(\mathbb{F}_q)$ . Induces bijection  $\mathcal{E}(\varphi) : \mathbb{F}_q^n \longrightarrow \mathbb{F}_q^n$ ,  
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$$\sigma_\alpha := \sigma_{f_\alpha}.$$

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where  $\langle a \rangle = \mathbb{F}_q^*$ .

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But -  $\mathcal{E}(\sigma_\alpha), \mathcal{E}(\sigma_i), \mathcal{E}(\tau)$  are all even ! Hence

$\mathcal{E}(T_n(\mathbb{F}_q)) = \text{Alt}(m)$  !

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**Problem:** Do there exist “odd” polynomial automorphisms over  $\mathbb{F}_4$ ?

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(3) (if  $n = 3$ ):  $\text{GA}_3(\mathbb{K}) \neq \langle \text{Aff}_3(\mathbb{K}), \text{GA}_2(\mathbb{K}[Z]) \rangle$ .

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So: Start looking for an odd automorphism!!! (Or prove they don't exist)

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Very good: the **Cayley-Hamilton theorem** (characteristic polynomials of linear maps etc.).

Now, let's try to make a Cayley-Hamilton theorem for polynomial maps! (Perhaps the constant term can replace that stupid  $\det(\text{Jac}(F)) = 1$  requirement!)

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$F^n + a_{n-1}F^{n-1} + \dots + a_1F + a_0I = 0$ . GR! It will not work!

But... **Definition:** If  $F$  is a zero of some  $P(T) \in \mathbb{C}[T] \setminus \{0\}$ , then we will call  $F$  a Locally Finite Polynomial Endomorphism (short LFPE).

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Let's be a little less ambitious and study this set. LFPE's should resemble linear maps more than general polynomial maps!

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Corollary: if  $R$  is a field, there is a unique minimum polynomial

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But: the minimum polynomial may change if  $G$  is not linear!

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$$P_F(T) := \prod_{\substack{0 \leq k \leq d-1 \\ 0 \leq m \leq d \\ (k, m) \neq (0, 0)}} (T^2 - (\det L^k)(\text{Tr} L^m)T + \det(L^{2k+m})).$$

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**Conjecture:** in dimension  $n$ ,

$F$  is LFPE  $\iff \deg(F^m) \leq \deg(F)^{n-1}$  for all  $m \in \mathbb{N}$ .

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If  $\{F_{j,\alpha}^{(i)}\}_{i \in \mathbb{N}}$  is such a sequence, then it is a **linear recurrent sequence** belonging to  $\sum a_i T^i$ , etc....

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So: we can make many examples of LFPEs!

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Don't know how to make  $D_s$ , given  $F_s$ .

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if  $c \in \mathbb{C}$ , then no natural choice  $\log(c)$ .

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So there's some funny stuff you might be able to read off  $m_F$  !

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$(*)$  makes sense for finite fields.  $(**)$  killed as generators for  $GA_n(\mathbb{F}_{2^m})$  for finite fields, if you find an odd polynomial automorphism over  $\mathbb{F}_{2^m}$ !

$GLIN_n(\mathbb{C})$  is group generated by linearizable polynomial automorphisms.

$GLND_n(\mathbb{C})$  is group generated by exponents of LNDs AND linear maps.

$GLFD_n(\mathbb{C})$  is group generated by exponents of LFDs.

$GLF_n(\mathbb{C})$  is group generated by locally finite maps.

$$\begin{aligned} TA_n(\mathbb{C})(*)(**) &\subseteq GLIN_n(\mathbb{C})(*)(**) \\ &\subseteq GLND_n(\mathbb{C}) \subseteq GLFD_n(\mathbb{C}) \subseteq GLF_n(\mathbb{C})(*) \subseteq GA_n \end{aligned}$$

$(*)$  makes sense for finite fields.  $(**)$  killed as generators for  $GA_n(\mathbb{F}_{2^m})$  for finite fields, if you find an odd polynomial automorphism over  $\mathbb{F}_{2^m}$ !

**\*\*\* THANK YOU \*\*\***