

Polynomial automorphisms over  
finite fields  
and Locally Finite Polynomial Maps

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April 2008

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Notations:

	Linear	Polynomial
All	$ML_n(k)$	$MA_n(k)$
Invertible	$GL_n(k)$	$GA_n(k)$

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Why this bold claim? Polynomial maps seem to have similar properties as linear maps (much more so than holomorphic maps for example). Well... to be honest, most are **conjectures**... Let's look at a few of these conjectures!

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**Jacobian Conjecture** in dimension  $n$  (JC( $n$ )): ( $\text{char}(k) = 0$ )

Let  $F \in MA_n(k)$ . Then

$$\det(\text{Jac}(F)) \in k^* \Rightarrow F \text{ is invertible.}$$

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**Cancelation Problem:**

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invertible with inverse

$$(X_1 - f(X_2, \dots, X_n), X_2, \dots, X_n).$$

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$n = 3$ :(Shestakov-Umirbaev, 2004)

If  $\text{char}(k) = 0$ , then Nagata's map not tame, i.e.

$$GA_3(k) \neq TA_3(k)$$

There are many conjectures about other possible generating sets:

$GA_n(k)$

$TA_n(k)$



$GA_n(k)$

$\cup$

$ELND_n(k) := \langle Aff_n(k), \exp(D) \mid D \text{ locally nilpotent derivation} \rangle$

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$TA_n(k)$

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$LF_n(k) := \langle F \in GA_n(k) \mid \deg(F^m) \text{ bounded} \rangle$

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Denote  $\text{Bij}_n(\mathbb{F}_q)$  as set of bijections on  $\mathbb{F}_q^n$ . We have a natural map

$$GA_n(\mathbb{F}_q) \xrightarrow{\mathcal{E}} \text{Bij}_n(\mathbb{F}_q).$$

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Simpler question: what is  $\mathcal{E}(\mathrm{TA}_n(\mathbb{F}_q))$ ?

Why simpler? Because we have a set of generators!

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$T_n(\mathbb{F}_q)$  is generated by  $\text{GL}_n(\mathbb{F}_q)$  (for which we have a finite set of generators) and maps of the form

$$\sigma_f := (X_1 + f, X_2, \dots, X_n)$$

where  $f \in \mathbb{F}_q[X_2, \dots, X_n]$ .

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$$\sigma_\alpha := \sigma_{f_\alpha}.$$

which is a finite set.

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$$\tau := (aX_1, X_2, \dots, X_n)$$

where  $\langle a \rangle = \mathbb{F}_q^*$ .

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So: Start looking for an odd automorphism!!! (Or prove they don't exist)

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So far: we did not find an odd automorphism. Perhaps we didn't look hard enough! Perhaps all polynomial automorphisms are even - but why?

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Another “characteristic 2” anomaly: compare

$\text{GTAM}_n(k) := \text{normalizer of } \text{TA}_n(k)$

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$E_f = L^{-1}(E_{-2f}LE_{2f})$ . So, if  $\text{char}(k) \neq 2$  then:

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Which maps of the form  $(X + f(Y), Y)$  can we find in  $\text{GLIN}_2(\mathbb{F}_2)$ ?

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After some trial-and-error:  $f(Y) \in \mathbb{F}_2[Y^2 + Y] + \mathbb{F}_2Y + \mathbb{F}_2$ .

Note, equivalent are:

- ▶  $f \in \mathbb{F}_2[Y^2 + Y]$ ,
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In particular - we couldn't make  $(X + Y^3, Y)$ . And indeed, using Jung-v/d Kulk: these are all maps of the form  $(X + f(Y), Y)$  that we can make.

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Now  $(X + Y^i Z, Y, Z)(X, Y, Z + 1)(X + Y^i Z, Y, Z) = (X + Y^i, Y, Z)$ .

So:  $\text{GTAM}_n(k) \subset \text{GLIN}_{n+1}(k)$ .

$\text{char}(k) = 2$  : is  $\text{GLIN}_n(k) \subsetneq \text{GTAM}_n(k)$ ?

Can we make  $(X + Y^3, Y, Z)$  in dimension 3?

YES! We can make all affine ones (not that hard).

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So:  $\text{GTAM}_n(k) \subset \text{GLIN}_{n+1}(k)$ .

But - we run into other monomials that we cannot make:

$(X + YZ, Y, Z)$

We are looking for a useful *invariant* of  $\text{GLIN}_n(\mathbb{F}_2)$  which distinguishes it from  $\text{GTAM}_n(\mathbb{F}_2)$ .

## Second part: Locally finite polynomial endomorphisms

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Very good: the **Cayley-Hamilton theorem** (characteristic polynomials of linear maps etc.).

Now, let's try to make a Cayley-Hamilton theorem for polynomial maps! (Perhaps the constant term can replace that stupid  $\det(\text{Jac}(F)) = 1$  requirement!)

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$F$  zero of  $T^3 - 9T^2 + 26T - 24 = (T - 2)(T - 3)(T - 4)$ .



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But... **Definition:** If  $F$  is a zero of some  $P(T) \in \mathbb{C}[T] \setminus \{0\}$ , then we will call  $F$  a Locally Finite Polynomial Endomorphism (short LFPE).



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Let's be a little less ambitious and study this set. LFPE's should resemble linear maps more than general polynomial maps!

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$r_2 f^2 + r_1 f + r_0 = 0$  and  $r_4 f + r_5 = 0$  (i.e.

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Corollary: if  $R$  is a field, there is a unique minimum polynomial

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But: the minimum polynomial may change if  $G$  is not linear!

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$$P_F(T) := \prod_{\substack{0 \leq k \leq d-1 \\ 0 \leq m \leq d \\ (k, m) \neq (0, 0)}} (T^2 - (\det L^k)(\operatorname{Tr} L^m)T + \det(L^{2k+m})).$$

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**Conjecture:** in dimension  $n$ ,

$F$  is LFPE  $\iff \deg(F^m) \leq \deg(F)^{n-1}$  for all  $m \in \mathbb{N}$ .

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If  $\{F_{j,\alpha}^{(i)}\}_{i \in \mathbb{N}}$  is such a sequence, then it is a **linear recurrent sequence** belonging to  $\sum a_i T^i$ , etc....

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So: we can make many examples of LFPEs!

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Don't know how to make  $D_s$ , given  $F_s$ .

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if  $c \in \mathbb{C}$ , then no natural choice  $\log(c)$ .

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So there's some funny stuff you might be able to read off  $m_F$  !

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**\*\*\* THANK YOU \*\*\***

(for watching 263 slides...)