

# Topological K-theory, Lecture 2

Matan Prasma

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Again, we assume throughout that our base space  $B$  is connected.

## 1 Direct sums

Recall from last time:

Given vector bundles  $p_1 : E_1 \rightarrow B$  and  $p_2 : E_2 \rightarrow B$ , their **direct sum** is  $E_1 \oplus E_2 := E_1 \times_B E_2$  together with the projection map  $p : E_1 \oplus E_2 \rightarrow B$ . Note that  $p^{-1}(b) = p_1^{-1}(b) \oplus p_2^{-1}(b)$ .

**Proposition 1.1.** *The projection  $E_1 \oplus E_2 \rightarrow B$  is a vector bundle.*

**Example 1.** The canonical line bundle on  $\mathbf{R}P^n$ ,  $E \rightarrow \mathbf{R}P^n$  has an orthogonal complement given by  $E^\perp = \{(\ell, v) \in \mathbf{R}P^n \times \mathbf{R}^{n+1} | v \perp \ell\}$ . The map  $E^\perp \rightarrow \mathbf{R}P^n$ ,  $(\ell, v) \mapsto \ell$  is an  $n$ -dimensional vector bundle, whose fiber over  $\ell$  is  $\ell^\perp$ .

*Observe 1.2.* We have an isomorphism of vector bundles  $E \oplus E^\perp \xrightarrow{\cong} \mathbf{R}P^n \times \mathbf{R}^{n+1}$  given by  $(\ell, v, w) \mapsto (\ell, v + w)$ . When  $n = 1$ ,  $E \rightarrow \mathbf{R}P^1 = S^1$  is the Mobius line bundle which we have shown to be non-trivial. Since in this case  $E \cong E^\perp$ , we see that the (direct) sum of two non-trivial bundles may be trivial. We will explore this algebraic structure more thoroughly later in the course.

## 2 Operations on vector bundles

Let  $\text{Vect}_{\mathbf{k}}$  be the category of finite dimensional vector spaces over  $\mathbf{k}$  ( $= \mathbf{R}, \mathbf{C}$ ). This category is **enriched** over topological spaces in that for every  $V, W \in \text{Vect}_{\mathbf{k}}$ , the set of linear maps  $\text{Hom}(V, W)$  admits a topology for which the composition rule is continuous.

**Definition 2.1.** An endofunctor  $T : \text{Vect}_{\mathbf{k}} \rightarrow \text{Vect}_{\mathbf{k}}$  is called **topological** if for every  $V, W \in \text{Vect}_{\mathbf{k}}$ , the map  $T : \text{Hom}(V, W) \rightarrow \text{Hom}(TV, TW)$  is continuous.

Our goal is now to show that such a topological functor  $T$  induces an endofunctor of vector bundles, obtained by applying  $T$  “fiberwise”.

If  $p : E \rightarrow B$  is a vector bundle, we define the set  $TE$  to be the union  $\bigcup_{b \in B} T(E_b)$  and if  $\varphi : E \rightarrow F$  is a map of vector bundles we define the function

$T(\varphi) : TE \rightarrow TF$  by the maps  $T(\varphi_b) : T(E_b) \rightarrow T(F_b)$ . We want to define a topology on  $TE$  such that  $T\varphi$  will be continuous.

If  $E = B \times V$ ,  $TE = B \times TV$  already admits a topology. If, furthermore,  $F = B \times W$  and  $\varphi : E \rightarrow F$  a map of vector bundles, then as we saw last time,  $\varphi$  corresponds to a map  $\Phi : B \rightarrow \text{Hom}(V, W)$  and we obtain a map  $T\Phi : B \rightarrow \text{Hom}(TV, TW)$  which then corresponds back to  $T\varphi : TE \rightarrow TF$ . Thus,  $T(\varphi)$  is continuous because  $T\Phi$  is so. Note that, if  $\varphi$  is an isomorphism, then so is  $T\varphi$  since in that case  $T(\varphi_b)$  is an isomorphism for each  $b \in B$ .

Suppose  $E$  is trivial but has no preferred product structure. Choose an isomorphism  $\alpha : E \rightarrow B \times V$  and topologize  $TE$  by requiring  $T(\alpha) : TE \rightarrow B \times TV$  to be a homeomorphism (there is only one possible topology for  $TE$  that make it so). If  $\beta : E \rightarrow B \times V$  is any other isomorphism, then for  $\varphi = \beta\alpha^{-1}$  we see that  $T(\alpha)$  and  $T(\beta)$  induce the same topology on  $E$  since  $T(\beta) = T(\varphi)T(\alpha)$  is a homeomorphism as a composition of such. We thus see that the topology on  $TE$  does not depend on the choice of  $\alpha$ .

Furthermore, it is clear that if  $\varphi : E \rightarrow F$  is a map of trivial bundles, then  $T(\varphi)$  is a map of vector bundles, and that if  $B \subseteq B'$ ,  $T(E)|_{B'} \cong T(E)|_{B'}$  [ $T(E)|_{B'} \cong B' \times TV$ ].

Suppose  $p : E \rightarrow B$  is arbitrary. Then if  $U \subseteq B$  is such that  $E|_U$  is trivial, we topologize  $T(E|_U)$  as above. We then topologize  $TE$  by declaring a set  $V \subseteq T(E)$  to be open iff  $V \cap T(E|_U)$  is open for every  $U$  for which  $E|_U$  is trivial over. As we saw last time, continuity is a local property so that for a map of arbitrary vector bundles  $\varphi : E \rightarrow F$ ,  $T\varphi : TE \rightarrow TF$  is continuous. If  $B' \subset B$  then again  $T(E)|_{B'} \cong T(E)|_{B'}$  so that the two possible topologies agree.

Let us give few examples of the operations on vector bundles we have constructed:

- i  $E \otimes F$ .
- ii  $\text{Hom}(E, F)$ .
- iii  $E^*$  – the dual bundle.

The identities these operations satisfy in vector spaces continue to hold for vector bundles. For example, we have an isomorphism  $E \otimes (F' \oplus F'') \cong (E \otimes F') \oplus (E \otimes F'')$ .

### 3 Transition functions

It is common to view a vector bundle as family of vector spaces, one for every point in the base, which are glued together. We now make this precise.

**Definition 3.1.** Let  $p : E \rightarrow B$  be a  $\mathbf{k}$ -vector bundle with trivializations

$$\begin{array}{ccc}
 E|_{U_\alpha} & \xrightarrow{\varphi_\alpha} & U_\alpha \times V \\
 & \searrow & \swarrow \\
 & B &
 \end{array}$$

that restrict to vector space isomorphisms  $\varphi_\alpha|_{E_b} : E_b \xrightarrow{\cong} \{b\} \times V$ . The **transition functions** are defined to be the maps

$$g_{\beta\alpha} : U_\alpha \cap U_\beta \longrightarrow \mathrm{GL}(V) := \mathrm{Iso}(V)$$

given by  $g_{\beta\alpha}(b) = \varphi_\beta|_{E_b} (\varphi_\alpha|_{E_b})^{-1}$ . Note that  $\mathrm{Iso}(V)$  is a topological space since  $V$  is a topological vector space.

*Observe 3.2.* The transition functions of a vector bundle satisfy the **cocycle condition**: On triple intersections  $U_\alpha \cap U_\beta \cap U_\gamma$ ,  $g_{\gamma\beta}g_{\beta\alpha} = g_{\gamma\alpha}$ . This can be seen by the following diagram

$$V \xrightarrow{\varphi_\alpha^{-1}} E_b \xrightarrow{\varphi_\beta} V \xrightarrow{\varphi_\beta^{-1}} E_b \xrightarrow{\varphi_\gamma} V$$

The previous observation admits a converse in the form of

**Proposition 3.3.** *Let  $\{U_\alpha\}$  be an open cover of  $B$  and suppose we are given maps*

$$g_{\beta\alpha} : U_\alpha \cap U_\beta \longrightarrow \mathrm{GL}(V) := \mathrm{Iso}(V)$$

*satisfying the cocycle condition. Then there is a vector bundle  $p : E \longrightarrow B$  with fiber  $V$  whose transition functions are  $g_{\beta\alpha}$ .*

*Proof.* Define  $E := \coprod_\alpha U_\alpha \times V / \simeq$  where for every  $b \in U_\alpha \cap U_\beta$ ,  $(b, v) \simeq (b, w)$  iff  $w = g_{\beta\alpha}(b)(v)$ . The cocycle condition implies that  $g_{\alpha\beta} = g_{\beta\alpha}^{-1}$ . Thus, if  $(b, v) \simeq (b, w)$ ,  $v = g_{\alpha\beta}(b)(w)$  so that  $\simeq$  is symmetric. Transitivity follows in a similar way and thus  $\simeq$  is an equivalence relation. Define  $p : E \longrightarrow B$  by  $p[b, v] = b$ . Then the map  $U_\alpha \times V \longrightarrow \coprod_\alpha U_\alpha \times V \longrightarrow E$  admits a factorization

$$\begin{array}{ccc} U_\alpha \times V & \xrightarrow{\quad} & E \\ & \searrow & \swarrow \\ & E|_{U_\alpha} & \end{array}$$

in which the left map is a homeomorphism. We see that  $p : E \longrightarrow B$  is a vector bundle with transition functions  $g_{\beta\alpha}$ .  $\square$

## 4 Paracompact spaces

Our goal for the remains of this talk and the next one is to establish a classification of vector bundles. We will need to make a mild assumption on the base space  $B$  and we review it now. The proofs of the following point-set topology assertions will be omitted. They can be found in Hatcher's book "vector bundles and K-theory".

**Definition 4.1.** A Hausdorff space  $X$  is **paracompact** if every open cover  $\{U_\alpha\}_{\alpha \in I}$  admits a **partition of unity** with respect to it (or: subordinated to it), i.e., there are maps  $\{h_\alpha : X \longrightarrow [0, 1]\}_{\alpha \in I}$  satisfying:

- i For every  $x \in X$ ,  $h_\alpha(x) = 0$  for almost all  $\alpha$ .
- ii For every  $x \in X$ ,  $\sum_\alpha h_\alpha(x) = 1$ .
- iii For every  $\alpha$ ,  $\overline{h_\alpha^{-1}(0, 1]} \subseteq U_\alpha$ .

**Example 2.**

- i Every compact Hausdorff space.
- ii Every CW-complex.
- iii Every metric space.

**Definition 4.2.** An open cover  $\{U_\alpha\}$  of  $X$  is **locally finite** if for every  $x \in X$  there is an open neighbourhood  $V_x$  such that  $V_x \cap U_\alpha = \emptyset$  for almost all  $\alpha$ .

There is another equivalent definition of paracompact spaces as follows

**Theorem 4.3.** A space  $X$  is paracompact iff it is Hausdorff and every open cover has a locally finite open refinement.

Finally, we need a technical

**Lemma 4.4.** Let  $X$  be a paracompact space. If  $\{U_\alpha\}$  is an open cover, there is a **countable** open cover  $\{V_\beta\}$  such that each  $V_\beta$  is a disjoint union of opens, each contained in some  $U_\alpha$ .

## 5 Classification of vector bundles

Recall that we have defined (in the exercise) the Grassmanian  $G_n = G_n(\mathbf{k}^\infty)$  to be the space of all  $n$ -dimensional subvector spaces of  $\mathbf{k}^\infty$ . We also defined  $E_n = E_n(\mathbf{k}^\infty) = \{(V, v) \in G_n \times \mathbf{k}^\infty \mid v \in V\}$  and showed that the projection map  $\gamma_n : G_n \rightarrow E_n$  given by  $(V, v) \mapsto V$  defines an  $n$ -dimensional vector bundle.

The following proposition asserts that every  $n$ -dimensional vector bundle can be obtained as a pullback along  $\gamma_n$ .

**Proposition 5.1.** Let  $p : E \rightarrow B$  be a rank  $n$  vector bundle over  $\mathbf{k}$  with  $B$  paracompact. Then there exists a map  $f : B \rightarrow G_n(\mathbf{k}^\infty)$  and an isomorphism of vector bundles over  $B$ ,  $E \cong f^* E_n$ .

*Proof.* We can assume that  $p : E \rightarrow B$  has trivializations  $\varphi_\alpha : p^{-1}(U_\alpha) \xrightarrow{\cong} U_\alpha \times V$  with  $\{U_\alpha\}_{\alpha \in I}$  locally finite and countable. Let  $\{h_\alpha : X \rightarrow [0, 1]\}$  be a partition of unity wrt  $\{U_\alpha\}$  and define  $g_\alpha : E \rightarrow V$  by  $g_\alpha|_{p^{-1}(U_\alpha)} = (h_\alpha p) \cdot (\pi_2 \varphi_\alpha)$  (where  $\pi_2 : U_\alpha \times V \rightarrow V$  is the projection map) and  $g_\alpha = 0$  else. Note that  $g_\alpha$  is continuous since  $\overline{h_\alpha^{-1}(0, 1]} \subseteq U_\alpha$ . Choose an isomorphism  $\Sigma_\alpha V \cong \mathbf{k}^\infty$  ( $I$  is countable) and define  $g = \Sigma_\alpha g_\alpha : E \rightarrow \Sigma_\alpha V \cong \mathbf{k}^\infty$ . Then  $g$  is well-defined since  $\{U_\alpha\}$  is locally finite. We now claim that  $g$  maps each  $E_b$  isomorphically onto  $V$ . This is so since if  $h_\alpha(b) \neq 0$  then for any  $e \in E_b$ ,  $g(e) = \Sigma_\alpha g_\alpha(e) = (\Sigma_\alpha h_\alpha(b)) \cdot (\pi_2 \varphi_\alpha(e)) = \pi_2(\varphi_\alpha(e)) \in V$ . Define  $f : B \rightarrow G_n(\mathbf{k}^\infty)$  via  $f(b) = g(E_b)$ .

We consider the pullback

$$\begin{array}{ccc} f^*(E_n(\mathbf{k}^\infty)) & \longrightarrow & E_n(\mathbf{k}^\infty) \\ \downarrow & & \downarrow \\ B & \longrightarrow & G_n(\mathbf{k}^\infty). \end{array}$$

Then  $f^*E_n(\mathbf{k}^\infty)$  consists of triples  $(b, V, v)$  such that  $g$  maps  $E_b$  isomorphically onto  $V \subseteq \mathbf{k}^\infty$ . Thus, the map  $E \rightarrow f^*(E_n(\mathbf{k}^\infty))$  given by the isomorphism  $g: E_b \xrightarrow{\cong} V$  on every fiber  $E_b$  is an isomorphism of vector bundles.  $\square$