

# The perverse $t$ -structure

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March 15, 2017

## 1 The perverse $t$ -structure

The goal of today is to define the perverse  $t$ -structure and perverse sheaves, and to show some properties of both. In his talk Ben already defined  $D_c^b(X, \mathbb{Q}_l)$ , where  $X$  is separated of finite type over a field  $k$  in which  $l$  is invertible. We also want to consider a similar category over the complex numbers.

**Definition 1.1.** Let  $X$  be a complex algebraic variety. The category  $D_c^b(X^{\text{an}}, \mathbb{Q})$  is the full subcategory of  $K \in D(X^{\text{an}}, \mathbb{Q})$  that are bounded and are locally constant with respect to some algebraic stratification of  $X$ , i.e. there is some finite decomposition  $X = \bigsqcup_i X_i$  of  $X$  into locally closed subschemes of  $X$  such that for every  $i$  and every integer  $n$  the sheaf  $j_i^* \mathcal{H}^n K$  is locally constant, where  $j_i: X_i \hookrightarrow X$  is the inclusion morphism.

Throughout this talk,  $X$  is either of the type of Bens's talk, or a complex algebraic variety.

**Definition 1.2.** Let  $X$  be as before. Then a complex  $K \in D_c^b$  is in  ${}^p D_c^{b, \leq 0}$  if for every point  $x \in X$  with inclusion  $i_x: \text{Spec}(\kappa(x)) \hookrightarrow X$  and every  $j > -\dim(x)$  we have  $\mathcal{H}^j(i_x^* K) = 0$ . Similarly, a complex  $K \in D_c^b$  is in  ${}^p D_c^{b, \geq 0}$  if for every point  $x \in X$  with inclusion  $i_x: \text{Spec}(\kappa(x)) \hookrightarrow X$  and every  $j < -\dim(x)$  we have  $\mathcal{H}^j(i_x^! K) = 0$ .

**Remark 1.3.** Another way to formulate the perverse  $t$ -structure is:

$$B \in {}^p D_c^{b, \geq 0} \Leftrightarrow \dim \text{supp} \mathcal{H}^{-i} B \leq i \quad \forall i,$$

$$B \in {}^p D_c^{b, \geq 0} \Leftrightarrow \dim \text{supp} \mathcal{H}^{-i} \mathcal{D}B \leq i \quad \forall i,$$

where  $\mathcal{D}$  is the Verdier duality.

**Remark 1.4.** Let  $K \in D_c^b$ , and let  $U \xrightarrow{j} X$  be an open subset of  $X$ . Let  $F \xrightarrow{i} X$  be its complement. Then

$$K \in {}^p D_c^{b, \leq 0} \Leftrightarrow j^* K \in {}^p D_c^{b, \leq 0} \text{ and } i^* K \in {}^p D_c^{b, \leq 0};$$

$$K \in {}^p D_c^{b, \geq 0} \Leftrightarrow j^! K \in {}^p D_c^{b, \geq 0} \text{ and } i^! K \in {}^p D_c^{b, \geq 0}.$$

If  $U$  is dense and universally smooth (i.e.,  $(U_{\bar{k}})_{\text{red}}$  is smooth over  $\bar{k}$ ), then this simplifies to

$$K \in {}^p D_c^{b, \leq 0} \Leftrightarrow j^* K \in D_c^{b, \leq -\dim(U)} \text{ and } i^* K \in {}^p D_c^{b, \leq 0};$$

$$K \in {}^p D_c^{b, \geq 0} \Leftrightarrow j^! K \in D_c^{b, \geq -\dim(U)} \text{ and } i^! K \in {}^p D_c^{b, \geq 0}.$$

**Theorem 1.5.** *Let  $X$  be as above. Then  $({}^pD_c^{b,\leq 0}, {}^pD_c^{b,\geq 0})$  is a  $t$ -structure on  $D_c^b$ .*

**Remark 1.6.** This definition depends on the so-called *middle perversity*, i.e. the function  $X \rightarrow \mathbb{Z}$  given by  $x \mapsto \dim(x)$ . Another such a function satisfying some conditions is called a *perversity*, and different perversities give rise to different  $t$ -structures. In this seminar we will only discuss the middle perversity.

*Proof of Theorem 1.5.* We check the three properties of  $t$ -structures:

1. *If  $K \in {}^pD_c^{b,\leq 0}$  and  $L \in {}^pD_c^{b,>0}$ , then  $\text{Hom}(K, L) = 0$ :* We prove this by induction on  $\dim(X)$ . It is clear for  $X$  zerodimensional. Suppose  $X$  is of dimension  $n$  and that the statement has been proven for all dimensions smaller than  $n$ . From the distinguished triangle  $j_!j^!K \rightarrow K \rightarrow i_*i^*K$  we get an exact sequence

$$\text{Hom}(i_*i^*K, L) \rightarrow \text{Hom}(K, L) \rightarrow \text{Hom}(j_!j^!K, L).$$

By the adjunctions we know, the first term is isomorphic to  $\text{Hom}(i^*K, i^!L)$ , which is zero because of the induction hypothesis. Also, the last term in the sequence is isomorphic to  $\text{Hom}(j^*B, j^!C)$ , which again is zero, because  $j^*B \in D_c^{b,\leq -\dim(U)}$  and  $j^!C \in D_c^{b,>-\dim(U)}$ .

2.  ${}^pD_c^{b,\leq 0} \subset {}^pD_c^{b,\leq 1}, {}^pD_c^{b,\geq 1} \subset {}^pD_c^{b,\geq 0}$ : this is clear.
3. *There exists a distinguished triangle  $A \rightarrow K \rightarrow B$  with  $A \in {}^pD_c^{b,\leq 0}$  and  $B \in {}^pD_c^{b,>0}$ :* again we proceed by induction on  $\dim(X)$ . If  $\dim(X) = 0$ , the proposition is clear. Now assume  $X$  has dimension  $d$  and that the statement has been proven for all schemes of dimension  $< d$ . Let  $K$  be a complex in  $D_c^b$ , and let  $U$  be an essentially smooth (i.e.  $(U_{\bar{k}})_{\text{red}}$  is smooth over  $\bar{k}$ ) dense open subscheme of  $X$  on which the  $H^n K$  are locally constant. Then  $\tau_{\leq -\dim(U)}K|_U \in {}^pD_c^{b,\leq 0}(U)$  and  $\tau_{> -\dim(U)}K|_U \in {}^pD_c^{b,>0}(U)$ , so

$$\tau_{\leq -\dim(U)}K|_U \rightarrow K|_U \rightarrow \tau_{> -\dim(U)}K|_U$$

is a distinguished triangle on  $U$  that defines the required distinguished triangle on  $U$ . Let  $F$  be the complement of  $U$ ; then  $\dim(F) < \dim(X)$ , so by the induction hypothesis the perverse  $t$ -structure is indeed a  $t$ -structure on  $F$ , and there exists a distinguished triangle

$${}^p\tau_{\leq 0}K|_F \rightarrow K|_F \rightarrow {}^p\tau_{> 0}K|_F$$

that is our required distinguished triangle on  $F$ . Now, by what we know of recollements, we can glue the standard  $t$ -structure on  $U$  and the perverse  $t$ -structure on  $F$  to find a  $t$ -structure on  $D_c^b$ , and in this  $t$ -structure a triangle

$$A \rightarrow K \rightarrow B$$

that lifts the two triangles mentioned before. Although the  $t$ -structure we get in this way is not the perverse  $t$ -structure, the triangle is still distinguished, and in fact  $A \in {}^pD_c^{b,\leq 0}$  and  $B \in {}^pD_c^{b,>0}$  by Remark 1.4

□

**Definition 1.7.** The abelian category of perverse sheaves  $\text{Perv}(X)$  is the heart of the perverse  $t$ -structure.

## 2 $t$ -exact functors

**Definition 2.1.** Let  $\mathcal{D}_1$  and  $\mathcal{D}_2$  be two  $t$ -structured categories with hearts  $\mathcal{C}_1$  and  $\mathcal{C}_2$ , and let  $f: \mathcal{C} \rightarrow \mathcal{D}$  be a morphism of categories; then we denote  ${}^p f = H^0 \circ f \circ \epsilon$ . Here  $H^0 = \tau_{\geq 0} \tau_{\leq 0}$ .

**Definition 2.2.** Let  $\mathcal{D}_1$  and  $\mathcal{D}_2$  be two  $t$ -structured categories with hearts  $\mathcal{C}_1$  and  $\mathcal{C}_2$ , and let  $f: \mathcal{C} \rightarrow \mathcal{D}$  be a morphism of triangulated abelian categories. We say that  $f$  is *left  $t$ -exact* if  $f(\mathcal{D}_1^{\geq 0}) \subset \mathcal{D}_2^{\geq 0}$ , *right  $t$ -exact* if  $f(\mathcal{D}_1^{\leq 0}) \subset \mathcal{D}_2^{\leq 0}$ , and  *$t$ -exact* if both are true.

**Lemma 2.3.** Let  $\mathcal{D}_1$  and  $\mathcal{D}_2$  be two  $t$ -structured categories with hearts  $\mathcal{C}_1$  and  $\mathcal{C}_2$ , and let  $f: \mathcal{C} \rightarrow \mathcal{D}$  be a morphism of categories.

1. If  $f$  is (left, right)  $t$ -exact, then  ${}^p f$  is (left, right) exact.
2. If  $f$  is left (right)  $t$ -exact and  $K$  is an element of  $\mathcal{D}_1^{\geq 0}$ , then  ${}^p f H^0 K \xrightarrow{\sim} H^0 f K$ .
3. Suppose  $f$  has a left adjoint  $g: \mathcal{D}_2 \rightarrow \mathcal{D}_1$ . Then  $g$  is right  $t$ -exact if and only if  $f$  is left  $t$ -exact, and in this case  $({}^p g, {}^p f)$  form an adjoint pair.
4. If both  $f$  and some  $h: \mathcal{D}_2 \rightarrow \mathcal{D}_3$  are (left, right)  $t$ -exact, then  $h \circ f$  is as well, and  ${}^p(h \circ f) = {}^p h \circ {}^p f$ .

*Proof.*

1. Let  $0 \rightarrow X \rightarrow Y \rightarrow Z$  be a short exact sequence in  $\mathcal{C}_1$ ; then  $fX, fY, fZ \in \mathcal{D}_2^{\geq 0}$ , so the long exact cohomology sequence gives

$$0 \rightarrow H^0 fX \rightarrow H^0 fY \rightarrow H^0 fZ.$$

The statement on right exactness is dual to this one.

2. If  $K \in \mathcal{D}_1^{\geq 0}$ , then  $H^0 K \rightarrow K \rightarrow \tau_{>0} K$  is a distinguished triangle, hence so is  $fH^0 K \rightarrow fK \rightarrow f\tau_{>0} K$ . Since  $f\tau_{>0} K$  is an element of  $\mathcal{D}_2^{\geq 0}$ , the long exact sequence gives an isomorphism  $H^0 fH^0 K \xrightarrow{\sim} H^0 fK$ .
3. Suppose  $f$  is left  $t$ -exact, and let  $U \in \mathcal{D}_1^{\geq 0}$  and  $V \in \mathcal{D}_2^{\leq 0}$ . Then  $\text{Hom}(gV, U) = \text{Hom}(V, fU) = 0$ . Since this is true for all  $U$ , one has  $\tau_{>0} gV = 0$ , hence  $gV \in \mathcal{D}_1^{\leq 0}$ , so  $g$  is right  $t$ -exact. For  $A \in \mathcal{C}_1$  and  $B \in \mathcal{C}_2$ , we find  $H^0 gB = \tau_{\leq 0} gB$  and  $H^0 fA = \tau_{\geq 0} fA$ ; this gives a functorial isomorphism

$$\text{Hom}(H^0 gB, A) = \text{Hom}(gB, A) = \text{Hom}(B, fA) = \text{Hom}(B, H^0 fA).$$

4. The first point is trivial; furthermore for every  $A \in \mathcal{C}_1$  one has

$${}^p(h \circ f)A = H^0 h fA = H^0 h H^0 fA$$

by point 2.

□

### 3 $t$ -exactness in the geometric setting

**Proposition 3.1.** *Let  $X$  be as before, let  $U \xrightarrow{j} X$  be a Zariski open on  $X$ , and let  $F \xrightarrow{i} X$  be its closed complement. Consider the perverse  $t$ -structure on all schemes.*

1.  $j_!$  and  $i^*$  are right  $t$ -exact,  $j_*$  and  $i^!$  are left  $t$ -exact, and  $j^*(=j^!)$  and  $i_*(=i_!)$  are  $t$ -exact.
2. There are adjunctions  $({}^p i^*, {}^p i_*)$ ,  $({}^p i_!, {}^p i^!)$ ,  $({}^p j_!, {}^p j^!)$ ,  $({}^p j^*, {}^p j_*)$ .
3. The compositions  ${}^p j^* \circ {}^p i_*$ ,  ${}^p i^* {}^p j_!$ ,  ${}^p i^! {}^p j_*$  are zero.
4. For  $A \in \text{Perv}(F)$  and  $B \in \text{Perv}(U)$  one has

$$\text{Hom}({}^p j_! B, {}^p i_* A) = \text{Hom}({}^p i_* A, {}^p j_* B) = 0.$$

5. For  $A \in \text{Perv}(X)$  the sequences

$${}^p j_! {}^p j^* A \rightarrow A \rightarrow {}^p i_* {}^p i^* A \rightarrow 0$$

and

$$0 \rightarrow {}^p i_* {}^p i^! A \rightarrow A \rightarrow {}^p j_* {}^p j^* A$$

are exact.

6.  ${}^p i_*$ ,  ${}^p j_!$  and  ${}^p j_*$  are fully faithful, i.e. the natural transformations  ${}^p i^* {}^p i_* \rightarrow \text{id} \rightarrow {}^p i^! {}^p i_*$  and  ${}^p j^* {}^p j_* \rightarrow \text{id} \rightarrow {}^p j^! {}^p j_!$  are isomorphisms.

*Proof.* 1. By definition of the perverse  $t$ -structure,  $j^* = j^!$  is  $t$ -exact,  $i^*$  is right  $t$ -exact, and  $i^!$  is left  $t$ -exact. By Lemma 2.3.3  $i_* = i_!$  is  $t$ -exact,  $j_!$  is right  $t$ -exact, and  $i^!$  is left  $t$ -exact. The result now follows from Lemma 2.3.1.

2. This now follows directly from Lemma 2.3.3.
3. This follows from  $j^* i_* = 0$  (etc).
4. This is a direct consequence of the former statement.
5. This follows from the fact that

$$j_! j^* A \rightarrow A \rightarrow i_* i^* A$$

and

$$i_* i^! A \rightarrow A \rightarrow j_* j^* A$$

are distinguished.

6. This follows from the fact that these are isomorphisms without the  ${}^p$ .

□

**Proposition 3.2.** *Let  $f: X \rightarrow Y$  be a quasifinite morphism. Then  $f_!$  and  $f^*$  are right  $t$ -exact, and  $f^!$  are  $f_*$  are left  $t$ -exact.*

*Proof.* Let  $K \in D_c^b(X)$ . One has that  $K \in D_c^{b, \leq 0}(X)$  if and only if  $\dim \text{Supp} H^i K \geq i$ .  $f_!$  is exact on sheaves, so  $H^i Rf_! K = f_! H^i K$ , so  $\text{Supp} H^i f_! K = f_!(\text{Supp} H^i K)$  and  $\dim \text{Supp} H^i f_! K = \dim \text{Supp} H^i K$ , which proves that  $f_!$  is right  $t$ -exact. The proof for  $f^*$  is similar, and the result for  $f^!$  and  $f_*$  follow by adjunction.  $\square$

**Theorem 3.3.** *Let  $X$  and  $Y$  be separated schemes of finite type over  $k$ , and let  $l$  be a prime invertible in  $k$ . If  $f: X \rightarrow Y$  is an affine morphism, the functor  $f_*: D_c^b(X, \bar{\mathbb{Q}}_l) \rightarrow D_c^b(Y, \bar{\mathbb{Q}}_l)$  is right  $t$ -exact.*

*Proof.* Let  $F$  be a constructible sheaf (on  $X$  or  $Y$ ), and let  $d(F)$  be the smallest integer such that  $F \in {}^p D_c^{\leq d(F)}$ . If  $K$  is an object in the derived category, then we define  $d(K) = \sup(i + d(H^i K))$ ; then again  $d(K)$  is the smallest  $d$  such that  $K \in {}^p D_c^{\leq d(K)}$ ; hence  $K \in {}^p D_c^{\leq 0}$  if and only if all the  $H^i K[-i]$  are. Hence we need to show that if  $F$  is a sheaf such that  $d(F) \leq d$ , then  $d(R^i f_* F) \leq d - i$ ; but this is proven in (SGA4, XIV 3.1).  $\square$

**Corollary 3.4.** *With the notation as above,  $f_!$  is left  $t$ -exact.*

*Proof.* This follows from Verdier duality.  $\square$

**Corollary 3.5.** *If  $f: X \rightarrow Y$  is quasi-finite and affine, the functors  $f_!$  and  $f_*$  are  $t$ -exact.*