

# Rational homotopy theory and Poincaré duality

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## 1 Singular cochains and homotopy types

- Whitehead's problem
- Differential graded algebras
- $E_\infty$ -algebras and homotopy types
- Cochains theories

## 2 Sullivan models

- Realization functors
- Minimal models
- Homotopy groups
- Formality

# Motivations

D. Sullivan in Postcript (2004) of "Geometric topology" MIT notes (1970)

**Problem 2.** Construct an algebraic model of a simply-connected closed topological manifold as an integral chain complex with a hierarchy of chain homotopies expressing its structure as an infinitely homotopy associative, graded commutative, Poincaré duality algebra. (All dimensions).

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Motivated by computations in string topology and factorization homology.

## J. H. C. Whitehead (1950)

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"Classify the homotopy types of polyhedra  $X, Y, \dots$ , by algebraic data. Compute the set of homotopy classes of maps,  $[X, Y]$ , in terms of the classifying data for  $X$  and  $Y$ . Moreover, compute the group of homotopy equivalences,  $Aut(X)$ ."

## Categorical formulation

Find an "algebraic" category  $\mathbf{A}$  and a functor

$$\mathcal{M} : Ho(Top_*) \rightarrow \mathbf{A}$$

- (1) Faithful, i.e.  $[X, Y] \rightarrow [\mathcal{M}(X), \mathcal{M}(Y)]$  is injective,
- (2) Full, i.e.  $[X, Y] \rightarrow [\mathcal{M}(X), \mathcal{M}(Y)]$  is surjective,
- (3) Essentially surjective, i.e. each object  $A$  of  $\mathbf{A}$  is isomorphic to an object of the form  $\mathcal{M}(X)$ .



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### Corollary

Let  $f : (X, x) \rightarrow (Y, f(x))$  be a continuous map between two connected and 1-connected CW-complexes then  $f$  is a homotopy equivalence iff it induces an isomorphism in singular homology  $H_*(-; \mathbb{Z})$ .

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### Example

Let us consider the continuous map

$$S^1 \times S^1 \times S^1 \xrightarrow{c} S^3 \xrightarrow{\eta} S^2$$

where  $c$  is a degree 1 map and  $\eta$  is the Hopf maps is trivial on homotopy groups, on homology group BUT it is

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## D. Puppe sequences

Let  $X \rightarrow Y \rightarrow Y/X$  be a cofibration and  $F \rightarrow E \rightarrow B$  be a fibration we have two long exact

$$\dots [\Sigma Y, K] \rightarrow [\Sigma X, K] \rightarrow [Y/X, K] \rightarrow [Y, K] \rightarrow [X, K],$$

$$\dots [K, \Omega E] \rightarrow [K, \Omega B] \rightarrow [K, F] \rightarrow [K, E] \rightarrow [K, B].$$

## Example 1 : $[S^3 \times S^3, S^3]$

We use the cofiber sequence :  $S^3 \vee S^3 \rightarrow S^3 \times S^3 \rightarrow S^6$ , and we consider the long exact sequence

$$[\Sigma(S^3 \times S^3), S^3] \rightarrow [\Sigma(S^3 \vee S^3), S^3] \rightarrow [S^6, S^3] \rightarrow [S^3 \times S^3, S^3] \rightarrow [S^3 \vee S^3, S^3]$$



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We get a non-trivial extension :

$$\pi_6(S^3) \cong \mathbb{Z}/12\mathbb{Z} \hookrightarrow [S^3 \times S^3, S^3] \twoheadrightarrow \mathbb{Z} \oplus \mathbb{Z} \cong [S^3 \vee S^3, S^3].$$

## Example 2 : $[S^1 \times S^1 \times S^1, S^2]$

A Postnikov tower for a connected space  $X$  is a tower of fibrations :

$$\dots X_n \xrightarrow{p_n} X_{n-1} \xrightarrow{p_{n-1}} X_{n-2} \dots X_1 \xrightarrow{p_1} X_0$$

- $\pi_k(X_n) = \pi_k(X)$  if  $k \leq n$ ,
- $\pi_k(X_n) = 0$  if  $k > n$ ,
- the fiber of  $p_n$  is a  $K(\pi_n(X), n)$ .

When the space is 1-connected, we have  $X \simeq \varprojlim X_n$ .

Example 2 : using the Postnikov tower of  $S^2$ 

$$\dots X_3 \xrightarrow{p_3} X_2 = K(\mathbb{Z}, 2)$$

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Let us consider the fibration  $K(\mathbb{Z}, 3) \rightarrow X_3 \rightarrow K(\mathbb{Z}, 2)$  we an exact sequence

$$[S^1 \times S^1 \times S^1, K(\mathbb{Z}, 3)] \rightarrow [S^1 \times S^1 \times S^1, X_3] \rightarrow [S^1 \times S^1 \times S^1, K(\mathbb{Z}, 2)]$$

In fact we get the short exact sequence

$$H^3(S^1 \times S^1 \times S^1, \mathbb{Z}) \hookrightarrow [S^1 \times S^1 \times S^1, X_3] \twoheadrightarrow H^2(S^1 \times S^1 \times S^1, \mathbb{Z}).$$

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Not enough structure ! Consider the singular cochains as a functor :

$$C^*(-; \mathbb{Z}) : Top^{op} \rightarrow dga_{\mathbb{Z}},$$

we have taken into account the cup product.

## Adams-Hilton models

We can detect the Hopf map !

$$[S^3, S^2] \rightarrow [C^*(S^2; \mathbb{Z}), C^*(S^3; \mathbb{Z})]_{dga}$$

we need a cofibrant model for  $C^*(S^3; \mathbb{Z})$  one can take :

$$T(u_2, u_3 \mapsto u_2 \otimes u_2, \dots).$$

In fact

Adams-Hilton+Husemoller-Moore-Stasheff+Félix-Halperin-Thomas : if  $X$  is 1-connected of finite type ( $H_k(X; \mathbb{Z})$  is finitely generated), we have

$$[C^*(X; \mathbb{Z}), C^*(S^n; \mathbb{Z})]_{dga} \cong H_{n-1}(\Omega X; \mathbb{Z}).$$

up to a sign we get Hurewicz morphism for  $\Omega X$  :

$$[S^n, X] \cong [S^{n-1}, \Omega X] \rightarrow [C^*(X; \mathbb{Z}), C^*(S^n; \mathbb{Z})]_{dga}.$$

## *dga* is not enough !

We have Steenrod squares acting on  $H^*(X; \mathbb{Z}/p)$  they are not encoded only by the cup product.

Need to take into account the cup- $i$  products.

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**Solution** : Operad theory !

### $E$ -algebras

We have a family of cochain complexes of natural multilinear operations  $\{E(k)\}_{k \geq 0}$  acting on the singular cochains

$$E(k) \otimes C^*(-)^{\otimes k} \rightarrow C^*(-).$$

$C^*(X)$  is a  $E$ -algebra.

The operad  $E$  is resolution of the operad  $Com$  i.e. we have a quasi-iso  $E \rightarrow Com$ .

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### $E$ -algebras and cdgas

Over a field of  $\mathbb{F}$  of characteristic zero we have a Quillen adjoint pair :

$$Com \otimes_E - : E-dgas \rightleftarrows cdgas : U$$

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Thus we can replace by singular cochains by  $X \mapsto Com \otimes_E F_X$  where  $F_X$  is a natural cofibrant resolution of  $C^*(X; \mathbb{F})$ .

# Thom-Whitney Polynomial forms

Let  $\Delta^k$  be the standard  $k$ -simplex we define the algebra polynomial forms on  $\Delta^k$  as the algebra :

$$A_{PL}^*(\Delta^k) = S(t_0, \dots, t_k; dt_0, \dots, dt_k) / (\sum t_i = 1, \sum dt_i = 0).$$

This functor gives a contravariant functor :

$$A_{PL}^* : \mathit{sets}^{op} \rightarrow \mathit{cdgas}.$$

# Thom-Whitney polynomial forms 2

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Two ingredients :

- $A_{pl}^*(\Delta^n) \twoheadrightarrow A_{pl}^*(\partial\Delta^n)$
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Consequences :

- $A_{pl}^*$  sends cofibrations to fibrations,
- It preserves weak-equivalences.

# Cochain theory

## Definition

A cochain theory is a contravariant functor

$$F : \mathit{Top}^{op} \rightarrow \mathbb{M}$$

where ( $\mathbb{M}$  can be  $R - dgmod$ ,  $R - dga$ ,  $E - dga$ ,  $cdga$ ) such that :

- (1)  $F$  preserves weak equivalences,
- (2)  $F$  sends cofiber sequences  $X \rightarrow Y \rightarrow X/Y$  to a homotopy pull-back i.e.

$$F(X/Y) \simeq \mathit{hofiber}(F(Y) \rightarrow F(X))$$

- (3)  $F(\coprod_{\alpha} X_{\alpha}) \xrightarrow{\sim} \prod_{\alpha} F(X_{\alpha})$ .
- (4)  $H^*(F(pt)) = R$  iff  $*$  = 0 and 0 if  $*$   $\neq$  0.

## Cochain theory 2

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Any cochain theory  $F : Top^{op} \rightarrow E - dgas$  is naturally weakly equivalent as a  $E - dga$  to the singular cochain functor.



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### Cochains vs forms

We have a zig-zag of quasi-isos of  $E$ -dgas :

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### Cochains vs forms

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$$C^*(-; \mathbb{F}) \leftarrow T^* \rightarrow A_{pl}^*.$$

Just set  $T^*(\Delta^n) = C^*(\Delta^n; \mathbb{F}) \otimes A_{pl}^*(\Delta^n)$  and extend  $T^*$  to simplicial sets and then

# Realization functors

Let us start with a complete category  $C$  and a functor

$$F : \Delta^{op} \rightarrow C$$

using limits we extend it to a functor

$$F : Ssets^{op} \rightarrow C.$$

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This functor has an adjoint :

$$|-| : C^{op} \rightarrow Ssets$$

given by  $|b|_k = C(F(\Delta^k), b)$ . We get the adjunction formula :

$$Ssets(X, |b|) \cong C(b, F(X)).$$

## Application : computation of $[X, Y]$

Using singular cochains and simplicial realization we get a pair of adjoint functors

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Now use the fact that  $|T(u_n)| \sim K(\mathbb{Z}, n)$  and that  $|-|$  sends cofibration to fibration to prove

$$|T(u_2, u_3 \mapsto u_2 \otimes u_2)| \sim X_3$$

where  $X_3$  is the third stage of the Postnikov tower of  $S^2$ .



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We get that

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Let us suppose that  $C^*(X; \mathbb{Z})$  is formal then we get that

$$[X, X_3] = \{(a, b) \in H^2(X; \mathbb{Z}) \times H^3(X; \mathbb{Z}) : a \cup a = 0\}.$$

# cdgas vs simplicial sets

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Take  $X = K(\mathbb{Z}, n)$  then we know that

$$H^*(K(\mathbb{Z}, n), \mathbb{Q}) \cong S(u_n)$$

proof : use Serre spectral sequences.

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In general : tensor the Postnikov tower with  $\mathbb{Q}$ !

## D. Sullivan

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$$X \rightarrow |A_{p!}^*(X)|$$

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Ingredients :

- 1) Realizations of free objects are rational Eilenberg-MacLane spaces,
- 2) Realization is a Quillen functor, in particular it sends cofibrations on fibrations and homotopy cofibers on fibers.
- 3) On the other side if we start with a continuous map  $f : X \rightarrow Y$ , if  $Y$  is 1-connected the homotopy cofiber of the

$$A_{pl}^*(Y) \rightarrow A_{pl}^*(X)$$

is weakly-equivalent to  $A_{pl}^*(\text{Hofiber}(f))$ .

# Minimal model

Any cdga  $A$  has a cofibrant replacement :

$$\mathcal{M}_A \rightarrow A$$

when we forget the differential, we can choose  $\mathcal{M}_A \cong S(V)$ . The cofibrant model is determined by the

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When  $A$  is 1-connected one can construct a cofibrant resolution such that the differential of  $\mathcal{M}_A$  satisfies a

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A minimal model is unique up to isomorphisms of cdgas.

# Examples

- Spheres,
- Complex projective spaces,
- $S^4 \vee S^4$  :

$$\begin{aligned}
 S(a_4, b_4; a_7 \mapsto a_4^2, b_7 \mapsto b_4^2, c_7 \mapsto a_4 \cdot b_4; \\
 d_{10} \mapsto a_7 \cdot b_4 - a_4 \cdot c_7, e_{10} \mapsto a_4 \cdot b_7 - c_7 \cdot b_4; \\
 f_{13} \mapsto a_4 \cdot d_{10} - a_7 \cdot b_7, g_{13} \mapsto b_4 \cdot e_{10} - c_7 \cdot b_7 \\
 h_{13} \mapsto a_7 \cdot b_7 - a_4 \cdot e_{10} + b_4 \cdot d_{10}, \dots)
 \end{aligned}$$

# Indecomposable elements

Let  $A$  be an augmented cdga a decomposable element is an element in  $A^+ \cdot A^+$ .

We have a functor  $Ind : A \mapsto A^+ / A^+ \cdot A^+$  we can derive this functor

$$\mathbb{L}Ind : Ho(cdga) \rightarrow Ho(dg - \mathbb{Q} - evs)$$

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We define

$$H_*^Q(A) = H_*(\mathbb{L}Ind(A)).$$

When  $A$  has a minimal model  $S(V)$  we get that  $H_*^Q(S(V)) \cong V$ .

# Rational homotopy groups

When  $X$  is 1-connected of  $\mathbb{Q}$ -finite type we have that

$$[S^n, X] \otimes \mathbb{Q} \cong [S^n, |A_{pl}^*(X)|]$$

by adjunction, we get

$$[S^n, X] \otimes \mathbb{Q} \cong [A_{pl}^*(X), A_{pl}^*(S^n)] \cong [A_{pl}^*(X), H^*(S^n; \mathbb{Q})]$$

replace  $A_{pl}^*(X)$  by its minimal model  $S(V)$

$$[S^n, X] \otimes \mathbb{Q} \cong [S(V), H^*(S^n; \mathbb{Q})] \cong \text{Hom}(V_n; \mathbb{Q}) \cong \text{Hom}(H_n^{\mathbb{Q}}(A_{pl}^*(X)), \mathbb{Q}).$$



# Koszul duality

$sH_*^Q(A)$  is a coLie coalgebra,

$$H_{k-1}^Q(A) \rightarrow \bigoplus_{l+m=k} H_l^Q(A) \otimes H_m^Q(A)$$

it comes from Koszul duality of operads. Better think of  $\text{Hom}(sH_*^Q(A), \mathbb{Q})$  as a graded Lie algebra. The bracket are determined by the quadratic part of the differential.

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For example in the minimal model of  $S^4 \vee S^4$  we have

$$a_7 \mapsto a_4^2, b_7 \mapsto b_4^2, c_7 \mapsto a_4 \cdot b_4$$

we view the differential as a cobracket and we dualize everything :

$$a_7 \rightsquigarrow [\alpha_3, \alpha_3], b_7 \rightsquigarrow [\beta_3, \beta_3], c_7 \rightsquigarrow [\alpha_3, \beta_3].$$

# More examples

- Odd spheres,
- Even spheres :  $\mathbb{L}(v_{n-1})/(\mathbb{L}(v_n)^+)^{\geq 3}$

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- Even spheres :  $\mathbb{L}(v_{n-1})/(\mathbb{L}(v_n)^+)^{\geq 3}$
- Complex projective spaces  $\mathbb{C}P^n$  with  $n > 1$  :  $\mathbb{L}(v_1, v_{2n-2})$ .

# Whitehead product

On  $\pi_k(\Omega X) \otimes \mathbb{Q} = \pi_{k+1}(X) \otimes \mathbb{Q}$  we have a graded Lie algebra structure given

$$S^{k+l-1} \rightarrow S^k \vee S^l \rightarrow S^k \times S^l.$$

We set  $[f, g]$  via the composition :

$$S^{k+l-1} \rightarrow S^k \vee S^l \xrightarrow{f \vee g} X.$$

# Baues-Lemaire conjecture

M. Majewski

The two graded Lie algebras  $\text{Hom}(sH_*^{\mathbb{Q}}(A_{pl}^*(X)), \mathbb{Q})$  and  $\pi_*(\Omega(X)) \otimes \mathbb{Q}$  are

# Dichotomy

## Elliptic vs hyperbolic spaces

Let  $X$  be a 1-connected compact CW-complex, then we have the following alternative :

- $\dim(\bigoplus_k \pi_k(X) \otimes \mathbb{Q}) < \infty$  (elliptic space),
- $\dim_k(\pi_k(X) \otimes \mathbb{Q})$  grows exponentially ( hyperbolic space), i.e.  $\exists N$  such that for  $n > N$

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- Elliptic spaces satisfy Poincaré duality.

The connected sum  $\mathbb{C}P^2 \boxplus \mathbb{C}P^2$  is hyperbolic. Because when  $X$  is an elliptic space of  $\dim(X) = n$  then  $\dim(H^*(X; \mathbb{Q})) \leq 2^n$ .

## DGMS

A Kähler manifold is a complex manifold  $X$  with a Hermitian metric  $h$  whose associated 2-form  $\omega$  is closed. Where the 2-form is given by

$$\omega(u, v) = \operatorname{Re}h(iu, v)$$

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They prove formality over  $\mathbb{R}$ . We can always go down to  $\mathbb{Q}$ .

# Formality of Poincaré duality spaces

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Examples in dimension 7 :

1) pull-back of

$$S^2 \times S^2 \rightarrow S^4 \leftarrow S^7$$

2)  $(S^2 \times S^5) \boxplus (S^2 \times S^5)$ .